

PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. & Trademark Office

Attorney's Docket No.

22974

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371**

US. Application No. (if known)

10/509299

INTERNATIONAL APP. NO.

PCT/EP03/01814

INTERNATIONAL FILING DATE

22 February 2003

PRIORITY DATE CLAIMED

28 March 2002

TITLE OF INVENTION

**METHOD FOR TREATING ALLOYED CARBONIC IRON SMELTS USED FOR THE PRODUCTION
OF STEEL**

APPLICANT(S) FOR DO/EO/US

Stefan LEMKE et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.

US Application no (if known) 10/509299	International Application no. PCT/EP03/01814	Attorney's Docket No. 22974
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17. The following fees are submitted:
 Basic National Fee (37 CFR 1.492(a)(1)-(5):
 Search report has been prepared by the EPO or JP \$950.00
 Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) \$750.00
 No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)
 but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) \$790.00
 Neither int'l prel. exam fee (37 CFR 1.482) nor
 int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO \$1000.00
 Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)
 and all claims satisfied provisions of PCT Art. 33(2-4) \$200.00
 ENTER APPROPRIATE BASIC FEE AMOUNT

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☒ 30
 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130

CLAIMS	NO. FILED	NO. EXTRA	RATE
Total claims			
Ind. claims			
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)			

TOTAL OF ABOVE CALCULATIONS

\$130

Reduction of ½ for filing by small entity, if applicable. Verified Small Entity Statement
 must also be filed (37 CFR 1.2, 1.27, 1.28)

\$0

SUBTOTAL

\$130

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE

\$130

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be
 accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)

\$40

TOTAL FEES ENCLOSED

\$170

Amt to be refunded

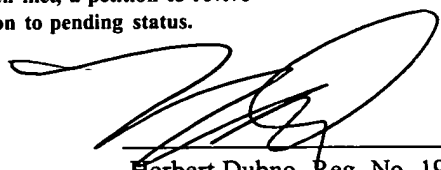
Amt to be
charged

- a. ☐ A check in the amount of **\$130** to cover the above fees is enclosed
 b. ☐ Please charge my deposit account 18-2025 **\$00.00** to cover the above fees. A copy of this sheet is enclosed.
 c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.
 d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit
 account 18-2025. A copy of this sheet is enclosed
 e. ☒ A PTO-2038 in the amount of **\$40** to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive
 (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

The Firm of Karl F. Ross P.C.
5676 Riverdale Ave. Box 900
Riverdale (Bronx), NY 10471


 Herbert Dubno, Reg. No. 19,752

22974

IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor **Stefan LEMKE et al**
Patent App. **10/509,299 (US Nat'l phase of PCT/EP03/01814)**
Filed **24 September 2004**
For **METHOD FOR TREATING ALLOYED CARBONIC IRON
SMELTS USED FOR THE PRODUCTION OF STEEL**

Hon. Commissioner of Patents
Box 1450 Alexandria, VA 22313-1450

RECORD OF TRANSMITTAL--PCT APPLICATION

- ☒ PCT Transmittal
- ☐ PCT Application
- ☐ Translation
- ☐ Sheets of Drawing (0)
- ☒ PCT Declaration
- ☐ PCT Documents
- ☐ International Search Report
- ☐ Preliminary Amendment
- ☒ Assignment (with PTO-1595 and sep. PTO-2038)
- ☒ PTO-2038 for Official Fees

☒ Late filing \$130.00

03/29/2005 MKAYPAGH 00000066 10509299

01 FC:1617 130.00 OP Total \$130.00

Please charge any fees not covered by an enclosed PTO-2038 to
account 18-2025 of the undersigned.

18 March 2005

5676 Riverdale Ave. Box 900
Bronx, NY 10471-0900
Tel: (718) 884-6600
Fax: (718) 601-1099
Customer No. 535
rg

Respectfully submitted,
The Firm of Karl F. Ross P.C.


Herbert Dubno, Reg. 19,752

2002 Reg. 19,752
FIRST CLASS MAIL
MAR 19 2005
#5
I hereby certify that this document is a true and correct copy of the original document as first class mail.
Enclosed is the number of the document.
Mail station label is provided by the sender.
envelopes addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on **MAR 18 2005**
(Date of Deposit)
EV467342379
Express Mail Label Number



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/509,299	Stefan LEMKE	22974

INTERNATIONAL APPLICATION NO.

PCT/EP03/01814

LA. FILING DATE	PRIORITY DATE
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02/22/2003

03/28/2002

535
 THE FIRM OF KARL F ROSS
 5676 RIVERDALE AVENUE
 PO BOX 900
 RIVERDALE (BRONX), NY 10471-0900

CONFIRMATION NO. 3718

371 FORMALITIES LETTER



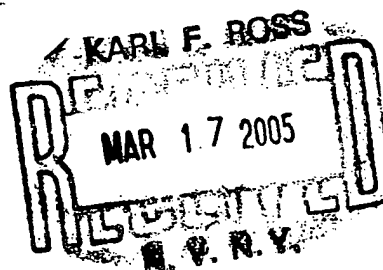
OC000000015445011

Date Mailed: 03/14/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/24/2004
- English Translation of the IA filed on 09/24/2004
- Copy of the International Search Report filed on 09/24/2004
- Copy of IPE Report filed on 09/24/2004
- Preliminary Amendments filed on 09/24/2004
- Information Disclosure Statements filed on 09/24/2004
- U.S. Basic National Fees filed on 09/24/2004
- Priority Documents filed on 09/24/2004



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE

DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/509,299	PCT/EP03/01814	22974

FORM PCT/DO/EO/905 (371 Formalities Notice)